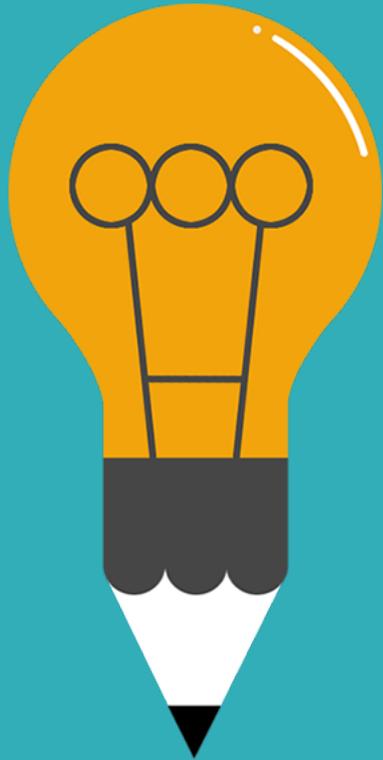


# GRANTSMANSHIP

**YOUR IRRESISTIBLE IDEA!**

KIMBERLY PAGE, PH.D, MPH  
PROFESSOR INTERNAL MEDICINE,  
DIVISION OF EPIDEMIOLOGY, BIostatISTICS AND PREVENTIVE MEDICINE

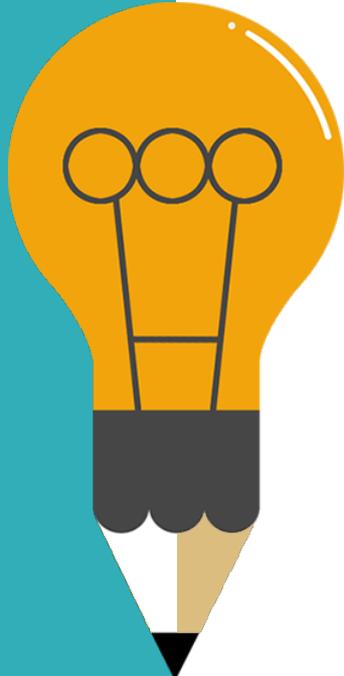


# SPECIFIC AIMS AND RESEARCH STRATEGY

Significance  
Innovation  
Leaders in the field

- Who is your seminar leader – Kimberly Page, Ph.D., MPH
- Who are you?
- SEMINAR GOALS and next week:
  - Presenting your irresistible idea as a study question
  - Significance: why is this needed? What gaps will the work fill
  - Innovation: how will your work contribute to new directions?
  - The building blocks of your grant proposal including the:
    - Why,
    - Design,
    - Measures,
    - How you will do it.
- Goal: Convincing the reviewers that you can do what you say you can do

# Session 1 – July 24, 2020



**01**

## **Your Irresistible Idea**

Presenting that as a study question  
Pilot studies: why do them

**02**

## **Review of Specific Aims section**

Moving from bulleted outline to 1-page write-up

**03**

## **Discussion of your aims**

What is the objective of your proposal?

**04**

## **Introduction to Significance and Innovation**

Scientific premise, positive impact, departure from the status quo

SPIRIT (Standard Protocol Items: Recommendation for Interventional Trials):

*a protocol is a document that provides sufficient detail to enable understanding of the:*

- Aims/Objectives (Based on your study question)
- Background
- Rationale
- Approach:
  - Study population
  - Interventions
  - Methods
    - Study Administration
    - Measures
  - Power and Statistical Analyses
- Ethical considerations,
- Dissemination plans

***a feasibility study intended to guide the planning of a larger scale investigation***

Answers important questions that can inform the design and conduct of a larger clinical trial, or study

- Evaluate subject availability and recruitment potential
- Feasibility of collaborations
- Evaluate safety of treatments or interventions
- Evaluate biomarker or other data in diverse populations
- Increase clinical experience with the intervention (or medication),
- Study management: assess procedures and refine protocol
- Trial case-report forms and analysis plan
- Project time, costs and help with budget preparation
- Need pilot data and publications to obtain funding



# State your study question

Discussion

# Study question

Guide/formula

In a population of \_\_\_\_\_,  
what is the association between  
\_\_\_\_ <a> \_\_\_\_\_ and <b: *outcome*>?

# Specific Aims

1-page to concisely state goals, objective, expected outcomes, impact



## Introductory paragraph

Hook, current knowledge, gap in knowledge, statement of need

## Specific aims paragraph

How you will test your central hypothesis or tasks you will perform

## What, why, who paragraph

Long-term goal, overall objective, central hypothesis, rationale

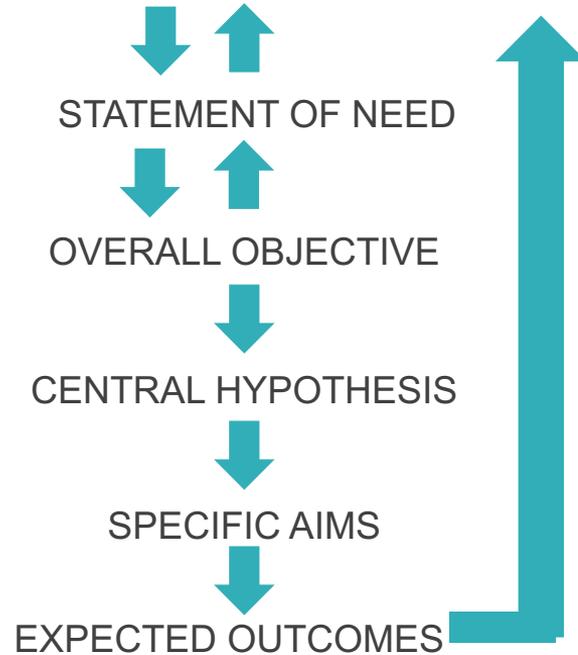
## Payoff paragraph

Expected outcomes, positive impact

# Progression – *kind of circular*

Specific Aims

GAP IN KNOWLEDGE/LACK OF SOMETHING



# Expected Outcomes & Positive Impact

## The expected products of the research

- Collectively, the outcomes allow you to attain your overall objective
- Advance science
- Not a restatement of the hypothesis



Provide robust estimates of efficacy



Generate important information about ...



Establish the clinical and patient-centered relevance of ...



a considerable advancement towards health equity



# Example Specific Aims

Dissect and Discuss

# 1<sup>st</sup> paragraph

Hook    Known information    Gaps in knowledge    Need

More than 14% of US households are food insecure, or at risk of going hungry because of the inability to afford food. About 21% of households with children are affected, as are more than a quarter of Latino and African-American households. One in eight US households is now enrolled in the Supplemental Nutrition Assistance Program (formerly known as Food Stamps). Food insecure adults tend to shift dietary intake toward nutritionally-poor, energy-dense foods, which cost less calorie-for-calorie than more nutritionally-rich foods. They also tend to over-consume during episodes of food adequacy in expectation of future food shortages. These behaviors may predispose adults to the development of obesity and diet-sensitive chronic disease. My recent work has demonstrated that food insecurity is independently associated with a higher prevalence of hypertension and diabetes, and poorer diabetes self-management. However, a number of crucial questions remain: *Does food insecurity predispose adults to obesity or diabetes? Does food insecurity alter self-management capacity, making diabetes management more difficult? There is a need to understand whether reducing food insecurity enables adults with diabetes to improve self-management capacity and intermediate outcomes?*

Color Key: Hook    Known Information    Gap in Knowledge    Critical Need

# 2<sup>nd</sup> paragraph

Long-term Goal

Proposal Objective

Rationale

Hypothesis

Pay-off

Diabetes is increasingly a disease of the poor; among US adults 50-64 years of age in California, the prevalence of diabetes is 8% among whites, 16% among blacks, and 22% among Latinos. **The objective of this application is to determine whether obesity/diabetes interventions implemented in low-income settings should specifically target food insecurity.** My **central hypothesis**, formulated on the basis of my clinical experience as a general internist at a public hospital and my subsequent preliminary research, **is that food insecurity negatively impacts the prevention and control of obesity and diabetes through alterations in dietary intake and interference with self-management capacity.** If this hypothesis is correct, one strategy to increase the effectiveness of obesity and diabetes prevention and control efforts in low-income communities may be to directly address food insecurity – a risk factor that has been largely overlooked and that may be causally related to socioeconomic inequalities in the incidence of obesity and diabetes. **My long-term goal is to implement and disseminate interventions at the clinic and policy level that reduce the burden of obesity and diabetes in low-income communities.** By pursuing the following specific aims, I will gather data essential for a formal intervention to shift dietary intake among low-income patients with diabetes toward increased fruit and vegetable consumption (to be proposed in a subsequent R01).

Color Key:

Long-term Goal

Proposal Objective

Rationale

Hypothesis

Pay-off

# The AIMS

Aim Title

Experimental Strategy

Outcome or Impact

**Aim 1: Establish the extent to which food insecurity is related to the incidence of obesity, pre-diabetes, and diabetes.** To accomplish this aim, we will use longitudinal data from the NHLBI-funded Coronary Artery Risk Development in Young Adults Study (CARDIA). We hypothesize that food insecurity will be associated with unhealthy dietary intake and 5-year incidence of obesity, pre-diabetes, and diabetes.

**Aim 2: Determine whether food insecurity alters response to a diabetes self-management intervention.** We will use the infrastructure of an existing self-management intervention which has recruited 702 patients with diabetes receiving primary care in federally qualified health centers. We hypothesize that food insecurity will moderate participants' success with the behavioral intervention.

**Aim 3: Conduct a pilot randomized controlled trial of a fruits and vegetables voucher in a population of food insecure patients with poorly-controlled diabetes.** We will recruit 60 patients from a safety net clinic with a 43% rate of food insecurity. Process outcomes include success with recruitment, ability to deliver the intervention in a clinical setting, and ability to measure study outcomes. Clinical outcomes include dietary intake, change in blood pressure and glycosylated hemoglobin, and rates of hypoglycemia

Color Key:

Aim Title

Experimental Strategy

Hypothesis or rationale

Outcome or Impact

# Summary Paragraph

Innovation

Expected Outcomes

Impact/Pay-off

In addition to establishing the importance of food insecurity as a risk factor for difficulty with obesity and diabetes prevention and management, these studies are expected to have an important impact on the design of clinical and public health interventions to shift dietary intake in low-income communities toward more healthy food alternatives. These specific aims build logically toward an R01-level intervention targeted at patients with, or at high risk of, obesity and diabetes.

Color Code: Innovation

Expected Outcomes

Impact/Pay-off



# Your Aims

What is the overall objective of your proposal?

# Specific Aims

1-page to concisely state goals, objective, expected outcomes, impact



## Introductory paragraph

Hook, current knowledge, gap in knowledge, statement of need

## Specific aims paragraph

How you will test your central hypothesis or tasks you will perform

## What, why, who paragraph

Long-term goal, overall objective, central hypothesis, rationale

## Payoff paragraph

Expected outcomes, positive impact

# Significance

The impact that something will have on some other thing

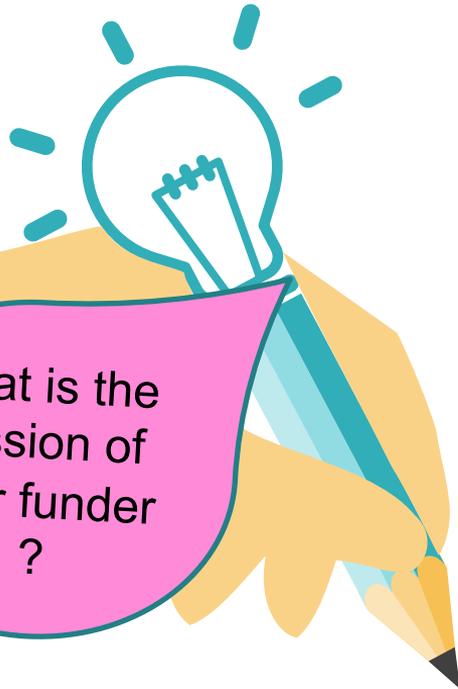


Does the project address an important problem or a critical barrier to progress in the field? Is there a strong scientific premise for the project? If the aims of the project are achieved, how will scientific knowledge, technical capability, and/or clinical practice be improved? How will successful completion of the aims change the concepts, methods, technologies, treatments services, or preventive interventions that drive this field?



**Why is your project  
important?**

# Components of Significance



01

## Overall Scientific Premise

Scientific foundation on which your proposal is built  
Detail existence of the gap/need you plan to address

02

## Scientific Premise for Each Aim

Select support for each aim that is maximally relevant  
Explain why each aim is needed

03

## Significance of the Expected Research Contribution

Explicitly describe the contribution you expect to make  
Why the contribution will have positive impact  
Relate to funding agency mission

**NIH Mission:** NIH's mission is to seek fundamental knowledge about the nature and behavior of living systems and the application of that knowledge to enhance health, lengthen life, and reduce illness and disability

# Innovation

A new and substantively different way of considering/addressing something, which opens new horizons



Does the application challenge and seek to shift current research or clinical practice paradigms by using novel theoretical concepts, approaches or methodologies, instrumentations, or interventions? Are the concepts, approaches or methodologies, instrumentations, or interventions novel to one field of research or novel in a broad sense? Is a refinement, improvement, or new application of theoretical concepts, approaches or methodologies, instrumentations, or interventions proposed?



**Departure from the status quo**  
**Attainment of new horizons**



# Leaders in the field

Discussion